

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.87

In Re Application Of: Yoko Kikuta et al.

Serial No.
10/044,854

Filing Date
November 7, 2001

Examiner

Group Art Unit
2681

Title: **MOBILE COMMUNICATION METHOD AND MOBILE
COMMUNICATION SYSTEM**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.

Dated: January 6, 2003

Signature

ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785

CC:

1-7-03

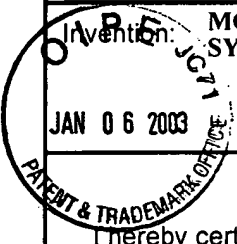
2681 #7
11/24-03

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket No.
Applicant(s): Yoko Kikuta et al.		15689.87

Serial No. 10/044,854	Filing Date November 7, 2001	Examiner	Group Art Unit 2681
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Invention: **MOBILE COMMUNICATION METHOD AND MOBILE COMMUNICATION SYSTEM**

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I hereby certify that the following correspondence:

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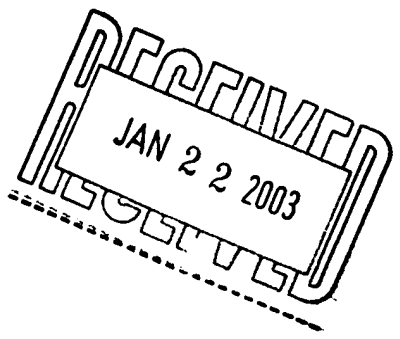
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Adrian J. Lee
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- Transmittal for Information Disclosure Statement (2 pages; duplicate);
- Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
- Form PTO-1449 Listing of References (1 page);
- Legible Copy of References;
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PATENT APPLICATION
Docket No: 15689.87

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of

Yoko Kikuta et al.

Serial No.:

10/044,854

Confirmation No.:

9812

Filed:

November 7, 2001

For:

MOBILE COMMUNICATION METHOD
AND MOBILE COMMUNICATION SYSTEM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED January 6, 2003.

Respectfully submitted,



Adrian J. Lee
Attorney for Applicant
Registration No. 42,785

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